



18th JUNE 2018 MEETING

QUARTER 4 PERFORMANCE REPORTING TO WELSH AND UK GOVERNMENT & ANNUAL REVIEW 2017/18

REPORT OF CARDIFF CAPITAL REGION PROGRAMME DIRECTOR

AGENDA ITEM: 5

Reason for this Report

1. To inform Regional Cabinet of the Quarter 4 reporting position, against the Cardiff Capital Region City Deal (CCRCD) Implementation Plan 2016-2020 (formally adopted on the 1st March 2017) and CCRCD Transition Plan (formally adopted on the 17th March 2017).
2. To discharge the reporting requirements within the CCRCD Assurance Framework (JWA Schedule 8, point 2.2.9).
3. To recommend that Regional Cabinet approve the Quarter 4 report in Appendix 1, to be circulated to both Welsh and UK Government.
4. To recommend that Regional Cabinet note the overall significant progress, and completion of all key targets of the 2017/18 Transition Plan.
5. To recommend that Regional Cabinet accept the draft 2018/19 work plan attached as Appendix 2 as the basis for performance reporting for the next year in conjunction with the Annual Business Plan.

Background

- 5.1 Regional Cabinet received a detailed "Transition Plan 2017/18" in March 2017, which provided Regional Cabinet with details of the tasks and activities required in the coming year to efficiently address the requirements of the Joint Working Agreement and the Assurance Framework.
- 5.2 That programme of work was accepted by Regional Cabinet as the Transition Plan and Work Programme for the 17/18 financial year, and allowed the required resources to be identified and for progress to be monitored by the Regional Cabinet.

- 5.3 That Transition Plan and Work Programme also formed the basis of the Quarterly Performance Monitoring Reports which are shared with the UK and Welsh Governments, and which:-
- Highlight City Deal success;
 - Provide a performance narrative for each element of the City Deal against agreed Implementations Plan timescales;
 - Provide information on agreed outputs and outcomes; and
 - Identify mitigating actions for projects and programmes that are not being delivered to agreed timescales.

Highlights 2017/18

- 6.1 On the 02nd May 2017, the Regional Cabinet approved (subject to conditions) its first investment of £38.5m from the Wider Investment Fund, to establish an anchor space in the region for high end production of compound semi-conductors.
- 6.2 The aim of CCRCD's first investment is to support the development of a Compound Semi-Conductor Industry Cluster in the region, with the potential to:-
- Leverage £375m of private sector investment;
 - Create up to 2,000 high skilled jobs; and
 - Return the investment for use on other regional schemes.
- 6.3 On the 14th July 2017 the Regional Cabinet received update reports on the CSC project, which discharged the conditions specified on the 02nd May 2017.
- 6.4 On the 03rd August 2017 the CSC Foundry Ltd. (SPV) Board of Directors was established to oversee the CSC project.
- 6.5 On the 14th July 2017, Regional Cabinet agreed the public process for recruiting and selecting of the Chair for the Economic Growth Partnership (EGP), and Mr. Frank Homes was selected and appointed on the 20th November 2017.
- 6.6 On the 20th November 2017, Regional Cabinet agreed the Terms of Reference for Joint Scrutiny Committee; the lead Authority is currently collecting the details for each Council's nomination.
- 6.7 A shadow "Business Organisation" was established by the private sector, who completed recruitment of their Board membership in October / November 2017. In Quarter 4, the Regional Business Council commenced their programme of meetings.
- 6.8 On the 15th January 2018, Regional Cabinet considered the Metro Central project proposals, and agreed "in principle" to up to £40m being invested from the Wider Investment Fund.
- 6.9 On the 23rd January 2018, the first meeting of the EGP took place, following the appointment of the Chair and recruitment of the full Board.

- 6.10 On the 25th January 2018, Regional Cabinet agreed the Terms of Reference for the Regional Transport Authority (RTA) (as a sub-Committee of Cabinet) and its first meeting “out of shadow” took place.
- 6.11 On the 12th February 2018, Regional Cabinet considered three further ‘In-Principle’ project proposals for consideration for further development:-
- Skills for the Future
 - Regional Housing Investment Fund
 - Digital Infrastructure Strategy
- 6.12 On the 23rd February 2018, Regional Cabinet unanimously approved the 5 Year JWA Strategic Business Plan, setting out its aspirations and project development focus for the next five year period, commended for adoption to all ten Partner Councils. In addition the Regional Cabinet approved its 2018/19 Annual Business Plan on the 26th March 2018.
- 6.13 By the 28th March 2018, all ten Partner Councils had approved the 5 Year JWA Strategic Business Plan, which discharged the “Reserve Matter”.

Performance

7. Briefly, pending the finalisation of the CCRC D Monitoring, Reporting and Evaluation Framework, the current approach (Appendix 1) to reporting can be summarised as:
- Primary: high level & strategic
 - Secondary: detailed and operational
 - Tertiary: operation with supporting evidence / documentation
8. Attached as Appendix 1 is the primary level performance report for Quarter 4, covering the period 01st January to 31st March 2018.
9. Where there have been significant delays or issues, these have been highlighted and mitigation measures put in place, which are as follows:-

T2.1: Establish the Regional Office (PMO)

- 10.1 In the Transition Year 2017/18, an estimated / outline staff structure was proposed, but heavily caveated on work plan /workload demands and the progression of City Deal processes and procedures being completed.
- 10.2 Due to the unforeseen delays in completing the assessment and monitoring procedures; establishing the four Regional Partnerships and discharging the “Reserved Matter” (agreeing the 5 Year Strategic Business Plan by all ten Partner Councils), the proposed temporary staff structure was never fully recruited. However, the core team (including the Programme Director) had their secondments extended to the 31st March 2018 to ensure continuity and completion of assessment and monitoring procedures, etc. Similarly, as the four Regional Partnerships were being established, temporary staff secondments were put in place to ensure their progress.

- 10.3 In this final quarter for the 2017/18 Transition year, the current Programme Director was able to be in a position where the Programme Management Office (PMO) team structure, and Accountable Body support, could be more firmly assessed and specified, especially following Regional Cabinet approving four regional projects “in principle”, which all required project development support. Vacant posts were re-designed and evaluated to support those projects, and with the exception of the Programme Director recruitment (Regional Cabinet decision of December 2017), five of the re-designed 8 posts supporting Partnerships and projects have been successfully recruited with post holders on three year secondment, commencing at the start of the 2018/19 year.

T2.2: Finance and Governance – Financial Flexibilities

- 11.1 Financial flexibility discussions, whilst on-going, saw slower progress than anticipated following discussion with Welsh Government at Quarter 3. However, in light of recent progress and the positive results achieved in another region of Wales, this work strand is now progressing at a different pace and will be a specific area of focus for 2018/19.

T2.4: Work, Skills and Economy – Establish the Regional Economic Growth Partnership

- 12.1 Quarter 4 has seen the EGP complete its selection and recruitment of the Board and introduce a calendar of monthly meetings in order to offer prompt feedback on any consultations on project proposals to Regional Cabinet.
- 12.2 The Board, and its Chair, are also taking a very pro-active approach to liaison with the other Regional Partnerships and private sector partners, in relation to regional economic opportunities, to raise awareness and encourage support.

Outputs and Outcomes

13. Scheme specific targets for the Compound Semiconductor Project (the first investment proposal made by Regional Cabinet) are in place, and regular performance monitoring of this specific project are the subject of separate reports to the special purpose vehicle established to oversee the delivery on behalf of Regional Cabinet. Update reports are also required for these monitoring purposes. As further proposals develop and gain approval from Regional Cabinet, they too will have scheme specific targets to be regularly monitored for Cabinet.

Mitigation

14. No scheme is currently subject to mitigation.

15. 2018/19 Work Plan

- 15.1 The Quarter 4 report attached as Appendix 1 completes the review and update on performance for 2017/18. Within that Appendix, certain activities are identified as needing to be carried forward into 2018/19 to continue the longer-term work.

- 15.2 Attached therefore as Appendix 2 is the draft 2018/19 work plan which identifies those activities being taken forward and the key priorities for action, needed to fulfil the aims and ambitions of the JWA five year Strategic Business Plan in terms of identified specific projects and programmes, as well as supporting and developing the work of the four Regional Partnerships.
- 15.3 This draft work plan 2018/19 has been compiled following consultation with Lead Chief Executives and Officers and is for consideration by Regional Cabinet to be used as the basis for performance monitoring for 2018/19.

Reasons for Recommendations

- 16.1 To discharge the reporting requirements within the Cardiff Capital Region City Deal (CCRCD) Assurance Framework (JWA Schedule 8, point 2.2.9).
- 16.2 To note the overall significant progress, and completion of the key targets of the 2017/18 Transition Plan.
- 16.3 To gain approval for the 2018/19 work plan.

Financial Implications

17. The attached report sets out the Quarter 4 performance against the CCRCD Implementation Plan 2016-2020 and the CCRCD Transition Plan. Regular budget monitoring reports were presented to Regional Cabinet throughout the year to ensure that any budget management issues that arose were highlighted at an early stage and appropriate action taken.
18. Regional Cabinet approved its 2018/19 Revenue Budget at its meeting of the 15th January and the 2018/19 Annual Business Plan at the 26th March meeting. An appropriate level of resources have been approved to support the proposed Work Plan attached at Appendix 2 and related activities.

Legal Implications

19. Based on the information set out in this report, there are no direct legal implications arising from the report, which reports Quarter 4 performance against the CCRCD Implementation Plan 2016-2020 and the CCRCD Transition Plan.

Future Generations and Wellbeing Considerations / Well-being and Equalities Assessment

20. The proposals outlined in this report are very much in line with the principles of the Wellbeing of Future Generations Act.
21. In considering this matter regard should be had, amongst other matters, to:-

- (a) the Councils' duties under the Well-being of Future Generations (Wales) Act 2015, (The Plan noting at section 3 that, ' ... we (CCRCD) will follow the five ways of working and sustainability principles detailed in the Well-being of Future Generations (Wales) Act 2015, and reflect and support the well-being goals); and
 - (b) Public sector duties under the Equalities Act 2010 (including specific Welsh public sector duties). Pursuant to these legal duties Councils must in making decisions have due regard to the need to a) eliminate unlawful discrimination, harassment and victimisation and other conduct that is prohibited by that Act; b) advance equality of opportunity between people who share a relevant protected characteristic and those who do not; and c) foster good relations between people who share a protected characteristic and those who do not. Protected characteristics are: a. Age; b. Gender reassignment; c. Sex; d. Race – including ethnic or national origin, colour or nationality; e. Disability; f. Pregnancy and maternity; g. Marriage and civil partnership; h. Sexual orientation; i. Religion or belief – including lack of belief. In Wales, public sector bodies listed are required to take certain steps in order to demonstrate that they have due regard to the public sector equality duty. These Welsh specific equality duties include assessing the impact of policies and procedures on equality (often called Equality Impact Assessment).
22. Regard should be had to Well-being and Equality in reaching any decision on this matter.
23. Going forward, work will demonstrate compliance with the well-being 5 ways of working, support the well-being goals and identify that the City Deal is expected to have a positive impact on all groups and people with protected characteristics.

RECOMMENDATIONS

It is recommended that the Cardiff Capital Region Joint Cabinet:

- a) Note the overall significant progress, and completion of the key targets of the 2018/17 Transition Plan;
- b) Consider and if deemed acceptable, approve the Quarter 4 performance report and Annual Review 2017/18;
- c) Authorise the Programme Director of the Cardiff Capital Region City Deal to formally submit the Quarter 4 performance report, including supporting information to both UK and Welsh Governments, and other stakeholders as required, on behalf of the Regional Cabinet;
- d) Consider and if deemed acceptable, approve the 2018/19 work plan as the basis of performance monitoring in the forthcoming year in conjunction with the Annual Business Plan.

Sheila Davies
Cardiff Capital Region Programme Director
12th June 2018

The following Appendices are attached:

- Appendix 1:** CCR City Deal Q4 Performance Dashboard
- Appendix 2:** Cardiff Capital Region City Deal Work Programme 2018-19

Appendix 1 - CCR City Deal Q4 Performance Dashboard

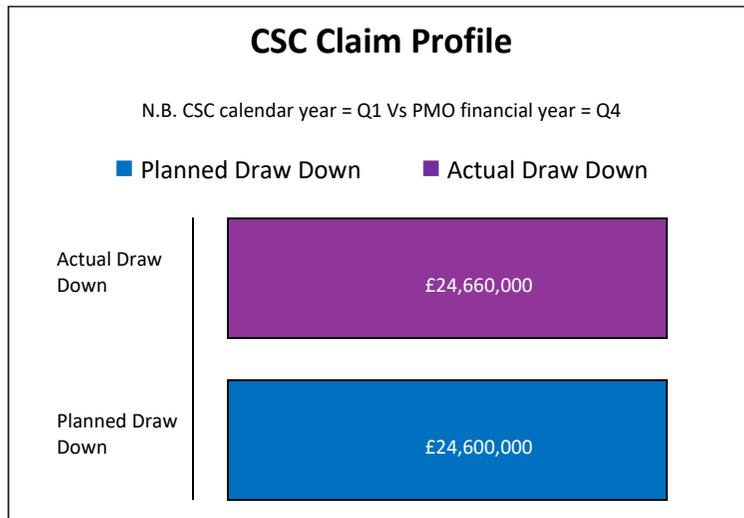
5 YEAR WIF BUDGET (COMMITTED SUMS)

| | Year 1 2016/17 £m | Year 2 2017/18 £m | Year 3 2018/19 £m | Year 4 2019/20 £m | Year 5 2020/21 £m | Total £m |
|---|-------------------------|-------------------------|-------------------------|-------------------------|-------------------------|-------------|
| HMT Funding | 0.000 | -20.000 | -10.000 | -10.000 | -10.000 | -50.000 |
| Council Contributions | 0.000 | -24.660 | -13.840 | 0.000 | 0.000 | -38.500 |
| Wider Investment Fund 'Top Slice' | 0.000 | 0.743 | 0.743 | 0.743 | 0.743 | 2.970 |
| Compound Semi-Conductor Investment | 0.000 | 24.660 | 13.840 | 0.000 | 0.000 | 38.500 |
| Uncommitted Sums | 0.000 | -19.257 | -9.257 | -9.257 | -9.257 | -47.028 |

OUTPUTS - CSC FOUNDRY INVESTMENT

| | Jobs Created | | Jobs Safeguarded | | Private Sector Leverage | | Repayment of Loan | | Investments Secured | |
|---|--------------|----------|------------------|----------|-------------------------|----------|-------------------|----------|---------------------|---------------------|
| | Direct | Indirect | Direct | Indirect | Direct | Indirect | Direct | Indirect | Direct | Indirect |
| Forecast over Full Evaluation Period | 501 | N/A | 156 | 550 | £375m | N/A | £33.1m | N/A | 1 IQE | 1 (Catapult) |
| 2017/18 Forecast | 28 | N/A | 156 | 550 | £28.5m | None due | None due | N/A | 1 IQE | Negotiation ongoing |
| 2017/18 Actual @Q4 | 28 | N/A | 156 | 500 | £28.5m | None due | None due | N/A | 1 IQE | Negotiation ongoing |

CLAIM PROFILE - CSC FOUNDRY INVESTMENT



REGIONAL BODIES SPEND PROFILE

| | Baseline £s | Final Outturn £s | Variance £s | |
|--|----------------|------------------------|-----------------|-----------------|
| Regional Bodies | | | | |
| Regional Transport Authority | 99,066 | 83,578 | -15,488 | |
| Regional Skills Board | 67,231 | 0 | -67,231 | |
| Economic Growth Partnership | 75,000 | 17,110 | -57,890 | |
| Regional Business Council | 90,000 | 2,560 | -87,440 | |
| Total | 331,297 | 103,248 | -228,049 | |
| Programme Development & Support | Total | 411,203 | 69,966 | -341,237 |
| Investment Income | 0 | -16,864 | -16,864 | |
| Total | 742,500 | 156,350 | -586,150 | |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

Q4 PROGRESS AGAINST 2016-20 IMPLEMENTATION PLAN & 2017-18 TRANSITION PLAN

| KEY | Red: | HIGH PRIORITY, not delivered, no mitigations identified |
|-----|--------|--|
| | Amber: | MEDIUM PRIORITY, not delivered, mitigations identified |
| | Green: | LOW PRIORITY, delivered |
| | Grey: | IN DELIVERY, to be delivered across quarters or during the transition phase (March 18) |

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|--|--|--|------------------------|-------------------------|--|-----------------------|
| T2.1: Regional Cabinet | Establish Regional Office | Regional Office was established at Ty Dysgu in May 2017. All connectivity issues were finalised at the end of Q3. | | | Completed | |
| | Establish the joint programme management team | Recruitment of Director – April 2018 Recruitment of Partnership Support completed in March 2018. Recruitment of x4 Project Development underway, to be completed April 2018. | NA | | | |
| | Establish the regional delivery team | Draft structures prepared for discussion with CEX. | NA | | | |
| | Develop and deploy over-arching programme monitoring tools and processes | Drafted and reviewed in Quarter 4. | NA | | | Completed |
| | Introduce individual evaluation arrangements covering each portfolio area referenced | National Evaluation Framework finalised. Local Framework measures identified and under discussion. | NA | | | Completed |
| | Produce the 2017/18 business plan | Agreed by Regional Cabinet 17/03/17. | NA | | Completed | |
| | Produce a comprehensive programme of work to ensure a seamless transition to City Deal arrangements | The transition programme is being delivered to plan. | NA | | | Completed |
| | Ensure that appropriate arrangements are put in place to support the CCRCD including accommodation, staff, resources, HR support, financial systems and audit and IT arrangements | There are on-going discussions with the Accountable Body to finalise arrangements regarding financial systems and IT system. | NA | | | Completed |
| | Ensure effective internal communication | There are a variety of methods for internal communication, these continue to be developed and refined. Work commenced for new logo / branding for April 2018 launch. | NA | | | |
| | Produce a comprehensive timetable to ensure requisite multilateral review and reporting arrangements are met by all partners | An agreed quarterly review and reporting timetable exists between the Regional Office, UKG and Welsh Governments. 18/19 reviews to be agreed in Q4. | NA | | | |
| Establish a clear mechanism for refreshing the City Deal Implementation plan | It is envisaged that the BP will replace the implementation plan when it is approved, in the meantime the Regional Office will request any revisions that may be required in a report to Regional Cabinet. | NA | | | Completed (new business plan takes over) | |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|---|---|---|------------------------|-------------------------|-----------------------|-------------------------|
| 1 Amend JWA. | | | | | | |
| T2.2: Finance & Governance | Financial Flexibility: develop proposal | Progress has been slower than anticipated following discussion with Welsh Government. | | | | Carried into 18/19 plan |
| | Financial Flexibility: Explore potential of business rate income above an agreed growth baseline | As above. | | | | Carried into 18/19 plan |
| | Develop a terms of reference for a Joint Scrutiny Committee for consideration and approval | The Cardiff Capital Region Cabinet received and agreed proposals for the establishment of a Regional Scrutiny Committee in November 2017. Bridgend CBC agreed to be the lead body to secure all 10 Council nominations to the Committee. | NA | | | Completed |
| T2.3: Regeneration, Housing & Planning | Develop a Housing Fund | Work is continuing to develop a CCRC Housing Fund, and is reflected in the JWA Strategic Business Plan. Cabinet agreed in principle to support further development in 18/19. | NA | | | Completed |
| | Work with WG to ensure that housing programmes and initiatives align | Regular Housing Theme group meetings continue with representatives from planning and housing across all 10 LAs and WG, undertaking key projects / tasks to progress work on this theme. Welsh Government representatives attend the Housing Theme Groups to ensure the initiatives align, and the joint working is productive. | NA | | | Completed |
| | Work with public and private housing providers to increase the delivery and quality of housing | Representatives of the house building industry have attended the Housing Theme Group to provide input, and met separately with the Theme Lead. | NA | | | Carried into 18/19 plan |
| | Strategic Plan for Regional Housing delivery, including Market Assessment | The Theme group is updating all available research data on housing need and supply in the CCR, to inform the further development of a CCRC Housing Fund, subject to Joint Cabinet agreement in principle. | NA | | | Completed |
| | Develop a process to capture, review and assess infrastructure and regeneration projects which accord with City Deal principles and ensure all submitted schemes and programmes undergo the assessment process and only those meeting the assessment criteria are progressed | Joint meetings held through November and December with Valleys Task Force and Welsh Government are helping finalise data and progress. This work will continue 2018/19. | NA | | | Completed |
| | Develop a framework based on supporting information provided by the transport, housing, innovation and business support and skill strand to promote innovative infrastructure, regeneration and economic growth projects in collaboration and/or in partnership in order to maximise leverage from the private and public sectors | Working with WG on the regionalisation of the replacement for VVP (TRI), with the aim of developing a rolling regeneration scheme which is complimentary to CCRC and maximises regional impacts through a pot of approximately £44M for the years 18/21. Through Q3 constructive joint meetings took place with Welsh Government to develop a Region Regeneration Plan. In Q4 draft RRP developed and discussions continue with WG over governance. | NA | | | Completed |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|---|--|---|------------------------|-------------------------|-----------------------|----------------------------|
| | Facilitate the development of schemes with partners including Welsh Government which together have the potential to provide region wide benefits | Work continues with private sector/pension funds to highlight and promote the opportunities available in the region. | NA | | | |
| | Develop a program of support for the three Enterprise Zones within the region in conjunction with Welsh Government and review the boundaries and roles of the Zones going forward | The Welsh Government review of Enterprise Zone Boards and activity replaces this work. | NA | | | Finish |
| | The development of further innovative start-up and co-working space in strategic locations to serve the region along with the follow-on space innovative business need to grow | Work has commenced to produce a Regional Strategic Sites & Premises Programme. A report was being produced in Q4 by the Regional Working Group. Further work will continue in 2018/19. | NA | | | |
| | Targeted investment for town centre regeneration to ensure our towns develop and thrive into the 21st century | Investigate the use of TRI with WG to support investment in strategic town centres throughout the region. This work will result in a rolling programme of activity in 18/19 and 19/20 and 20/21, in line with the key projects and themes as set out in the Regional Regeneration Plan. | NA | | | Completed |
| | The Development of a regeneration and infrastructure fund to support projects which deliver crosscutting regional benefits | Continue option development in 18/19 plan. | NA | | | |
| | Use the outcomes of the assessment process and City Deal Regional Cabinet resolutions to prepare a regional programme of implementation based on available funding | The 5 Year Strategic Business Plan was approved in Q4. | NA | | | Completed |
| | Ensure that the regional programme of implementation is monitored and updated based on revised funding and priorities and ensures that project implementation maximises added value benefits and sustainability principles | The Assessment, Appraisal and Evaluation process was drafted and tested in Q4. | NA | | | Completed |
| | Tourism development/investment – Development of a region wide destination investment plan | Appointed Consultants have completed the draft DMP, which is now being considered with Welsh Government to develop an action plan. | NA | | | Completed |
| | Create an integrated strategic development plan | A report has been prepared to seek Cabinet approval to commence work on a SDP for South East Wales, which will be considered in 18/19 Q1. SEWSPG are undertaking topic focused ‘pathfinder’ work to share best practice and agree shared methodologies to enable stronger joint working and to have comparable evidence in future for Development Plan preparation. | NA | | | Work continues into 18/19. |
| T2.4: Work, Skills & Economy | Establish the Regional Economic Growth Partnership | The recruitment and selection of the Chair and Board Members was completed in Q3. The Partnership work has begun. | | | | Completed |
| | Approve the appointment process and undertake recruitment of Chair of the REGP and progress recruitment of Board | Centre for Cities have completed their work and report approved for the establishment of the REGP was approved by the Regional Cabinet in July 2017. | NA | | Completed | |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|--|--|--|------------------------|-------------------------|-----------------------|--------------------------|
| | | Advertisement issued on 2 nd October (following RBO recruitment exercise) with a closing date of 20 th October. Exercise completed in Q3. | | | | |
| | DWP Work & Health | Ongoing engagement with DWP to complete the procurement process Information sought from the 10 LA's to inform the co-location requirement from CCRCD. New M.O.U. was agreed for delivery in Q4. | NA | | | Completed |
| | Reducing Unemployment | Now being discussed and Regional Strategy is being developed. | NA | | | Carry forward into 18/19 |
| | Increasing Employability | Regional Skills Plan prepared and published for 2017. Delayed publication of the WG Employability Strategy has delayed this activity. | NA | | Completed | Carry forward into 18/19 |
| | Apprenticeship Programme | This is Skills for the Future. | NA | | | Carry forward into 18/19 |
| | Provide people with skills for employability | The work has been reprogrammed as the anticipated Welsh Government Strategy on All Age Employability has been delayed which could have provided a national context. A Task and Finish group is currently reviewing and developing a common approach to Social Benefits in contracts for consideration by the Joint Cabinet. | NA | | | Carry forward into 18/19 |
| | Social Clauses Review | Task and Finish review of best practice to establish a sustainable approach to procurement. Task and Finish Group has prepared draft strategy. This needs to be presented to Regional Cabinet in Q1 18/19. | NA | | | Completed |
| | Business Cluster (Skills) Collaboration | Structural engagement with business clusters to ensure skills needs are met. IQE have completed work for Semicon Cluster. | NA | | | Ongoing 18/19 |
| | Virtual Academies | Development of the model of how the VA would operate in response to opportunity. Agreed model with TfW regarding METRO project. | NA | | | |
| | Software Academy | Independent project completed 2017. | NA | | | Completed |
| T2.5: Business & Innovation | Creating the Regional Business Organisation | To establish the Board (now to be known as The Regional Business Council). Recruitment and selection completed in Q3. Update report on Terms of Reference to be presented in Q4. | NA | | | Completed |
| | Link University-supported R&D & IP creation through to production and commercialisation – ensuring full TRL presence across 1-3, 3-6 and 6-9 | Foundry is now up and running with first Directors installed and production commencing. | NA | | | |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|-----------|---|--|------------------------|-------------------------|-----------------------|---|
| | Connect Centre for Excellence with Manufacturing and Production and Catapult Centre | All board members of CSC Foundry nominated and signed up as Company Directors. Meetings being held quarterly. | NA | | | |
| | Supporting the development of a globally recognised Compound Semiconductor cluster through an open innovation system, FDI and specialist branding and marketing | Meetings with two interested potential cluster companies – actions set out, and awaiting results of interest. | NA | | | |
| | Supporting high growth connections through creating 'collision spaces' for semi-conductors, defence, automotive and digital sectors | UK R&I Sector Deal being developed. | NA | | | Taking forward into Innovation Prospectus |
| | Designate an innovation district with a 'core' around Cardiff University Innovation System and a 'corridor' that links growth poles | Initial scoping work started. Global conference on Innovation Districts hosted by NESTA and Govt. of Canada in Toronto in May 2018. | NA | | | Innovation Prospectus |
| | Develop and match fund an Innovation Investment Fund targeted at the digital growth sector | Outline work done in partnership with Innovation Point. Proposal now developed for taking forward into 18/19. | NA | | | Taken forward under Innovation Portfolio |
| | Improve subscription rate to Innovate UK funds and Investment streams Invest | Linked to work of Innovation Council and Innovation Point. GovTech Challenge Fund secured for Transport in rural areas. | NA | | | Taken forward under Innovation Portfolio |
| | Site the National Innovation Body for Wales within the Innovation District programmes and activities | Informed the Reid Review on the future of Science, Innovation and Research. | NA | | | Taken forward under Innovation Prospectus |
| | Work with WG to support, consolidate and promote innovation through a new National Innovation Body | Contributed to AESIS International Conference on Innovation systems and developed new network of global partners to exchange knowledge and ideas with. | NA | | | 18/19 |
| | Create testbed to try, test and develop new approaches to public service delivery – inverting notion of a 'bloated' and problem public sector into an opportunity. This would focus upon solving the 'wicked problems' in public services | Scoping work commenced by Cardiff University, Y-Lab and PPI. | NA | | | Taken forward under Innovation Portfolio |
| | Development of data science campus for big data analytics | First blog for public consultation now published by Y-Lab. | NA | | | Taken forward |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|------------------------|--|--|------------------------|-------------------------|-----------------------|--------------------------------|
| | | | | | | under Innovation Portfolio |
| | Open data standard for the region. Open data programme and strategy that targets useable apps based development; improves accountability and adds civic value | The Open Data Working Group has met a number of times and has defined two projects to take forward. The bulk of the ten Authorities have signed up to the Open Government Licence. The SRS has allocated a resource to work on the Open Data project for a fixed period. | NA | | | Completed |
| | Drive forward new solutions to problems in social care, education, customer care, energy and asset optimisation and localism and service devolution | Core objective of public services testbed. | NA | | | 18/19 |
| | Build upon the success and scale of the Software Academy through creation of a Digital Services Academy – targeting cyber, defence and social media alongside software development | Draft business case developed for cyber skills academy – awaiting consideration post JWA Business Plan approval. | NA | | | 18/19 |
| | Explore scope for direct international connectivity | A number of bids were submitted into DCMS for LFFN funding. The Digital Strategy, agreed in-principle by Cabinet has agreed that this element will proceed ahead of the Strategy. | NA | | | |
| | Increase WIFI capacity across public transport, opening opportunities for data portals and e-citizenship | Discussions around City Deal wide Public Wi-Fi procurement are in early stages, and developing. Various opportunities for bidding for funds are underway to secure funds for these objectives. | NA | | | Work will progress in to 18/19 |
| | Re-invigorate and adapt the National Entrepreneurship Strategy for the region | Featured in a piece for BetheSpark. Bid being prepared for annual award of European Capital of Innovation. | NA | | | 18/19 |
| T2.6: Transport | Develop a Regional Transport Strategy | Draft Outline RTS completed and agreed by shadow CCRTA. Consultation period closed 26 th March. | NA | | | |
| | Develop a Transport Strategy for Growth supporting the CCRC | NA. | NA | | | |
| | Develop a [statutory] Regional Transport Plan supporting the Regional Spatial Strategy | NA. This is work planned for 18/19. | NA | NA | NA | 18/19 year |
| | Support and inform Metro development | A secondment from South East Wales Councils has been working closely with TFW. | NA | | | Completed |
| | Work in partnership with Welsh Government to define priorities of the South East Wales Metro concept and support its delivery | As above. | NA | | | Completed |
| | LA officer seconded into TfW procurement team to support procurement process and assist definition of Metro to align with and complement other City Region aspirations | Agreed and in place from November 2016 to March 2018. | NA | | | Completed |
| | Determine emerging mode type, service patterns and standards, scope of Phase 2 | Franchise and Metro procurement is on programme. Details will become clear on contract award Q1 19/20. | NA | | | Ongoing |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|-----------|---|--|------------------------|-------------------------|-----------------------|-------------------------------------|
| | Identify opportunities for a programme of additional investment (Phase 3) to deliver wider regional benefits | The Metro Central proposal, as first phase of 'Metro Plus' programme has been developed to be considered by Regional Cabinet in Q4. | NA | | | Ongoing |
| | Pooled Local Transport Network and Resources | ToR signed off, further delegation of powers needed to deliver wider aspirations. | NA | | | 18/19 |
| | Establish CCRTA Joint Transport Committee (as sub-committee to Cardiff Capital Region City Deal Joint Committee) | Established. First formal meeting in January 2018. | NA | | | Completed |
| | Establish senior transport officer group | Established and regular meetings held. Working with WLGA and other regions to consider roles and responsibilities. IAB (WG/TfW/CCRTA/Bus Operators) continues to meet. | NA | | | Completed |
| | Establish joint working partnerships with Welsh Government and Transport for Wales with clear roles and responsibilities | Role of TfW still to be clarified. | NA | | | Completed |
| | Establish the CCRTA as the focal point for regional engagement on all LA based transport issues and to respond/influence to third party proposals/services | Established. | NA | | | Completed |
| | Identify and develop joint regional initiatives to provide a strategic regional approach to dealing with transport matters | Participation in initial workshops on WLGA-led regional collaboration agenda. (Note this assumes functioning RTAs. Furthermore, WG has requested regional road safety reps, and is looking for progress with regionalisation of Concessionary Fares Reimbursement administration – see below). | NA | | | Completed (Outline RTS) |
| | Develop and implement a phased approach to regionalising the delivery of transport services and functions, including appropriate staff, accommodation and establishment requirements | Regional administration of BSSG funding continues. SLA agreed with WG on Concessionary Fares. Work will be ongoing, subject to resources. | NA | | | |
| | Integrated Ticketing | As planned. Operators charged with bringing forward a quick win on joint ticketing (ref c) following the appointment of the new franchise operator. See below. Discussions ongoing under IAB. Will progress further with appointment of Rail Franchise Operator. | NA | | | To be developed under Digital Theme |
| | Explore the creation of a single integrated ticketing platform for public transport across the region | As above. | NA | | | |
| | Develop integration initiative will be developed via an Integration Alliance Board (IAB) including improving availability of information; simplifying ticketing; making connections between different steps in the journey, and different modes of transport, easier; and providing better interchange facilities | As above. | NA | | Completed | Completed |
| | Specific to ticketing, the CCRTA will work within the IAB to work with the operators to bring forward commercial ticketing products that will be accepted on all modes of transport by all operators; identify the most practical smart technology which can be introduced quickly to enhance | NA. The Open Data Group (of all 10 Council ICT officials and Welsh Government) have agreed to look at IT options to identify a solution to enable single ticketing financial transfers. | NA | | | Continued into 18/19 year |

Appendix 1 - CCR City Deal Q4 Performance Dashboard

| PORTFOLIO | COMMITMENTS | COMMENTS | RAG STATUS Q1 Apr-June | RAG STATUS Q2 July-Sept | RAG STATUS Q3 Oct-Dec | RAG STATUS Q4 Jan-Mar |
|-----------|--|--|------------------------|-------------------------|-----------------------|---------------------------|
| | the customer experience; ensure the capture of travel data is used to promote public transport options and provide other business opportunities such as shopping vouchers etc. | | | | | |
| | Integrated Aviation Routes | NA. | NA | NA | NA | NA |
| | Review current levels of accessibility and connectivity between the airport / enterprise zone and the wider region | Feasibility studies have been commissioned. WelTAG 2 completed by Q4 and preferred options identified. | NA | NA | | Continued into 18/19 year |
| | Identify options to enhance current levels of accessibility and connectivity | Resources required to focus on this work. Studies completed. | NA | NA | | Completed |
| | Develop a prioritised programme of enhancements and identify appropriate funding opportunities | JWA needs to evolve to meet the aspirations contained in the City Deal Heads of Terms. This legal requirement has been recognised and agreed that each Council will be asked to consider further delegations to the JWA. | NA | | | Continued into 18/19 year |

Cardiff Capital Region City Deal Work Programme 2018-19

| Activity | Update | Q1 | Q2 | Q3 | Q4 |
|--|--------|----|----|----|----|
| GOVERNANCE | | | | | |
| <ul style="list-style-type: none"> Each quarter, a calendar of meetings of Cabinet, Programme Board, the four Regional Bodies be reviewed and noted/ agreed by Cabinet as appropriate. | | ✓ | ✓ | ✓ | ✓ |
| <ul style="list-style-type: none"> The Joint Working Agreement be reviewed, amended and agreed by Cabinet for minor amendments. | | | | ✓ | |
| <ul style="list-style-type: none"> The Joint Working Agreement be reviewed, specifically schedules 1 and 2 regarding delegations and Terms of Reference for Cabinet recommendations to the partner ten councils for approval. | | | | | ✓ |
| <ul style="list-style-type: none"> That Joint Cabinet develop closer working links with neighbouring City Deal areas by holding quarterly liaison meetings. | | | ✓ | ✓ | ✓ |
| FINANCE | | | | | |
| <ul style="list-style-type: none"> To report quarterly on Joint Cabinet budget spend for 2018-19. | | ✓ | ✓ | ✓ | ✓ |
| <ul style="list-style-type: none"> To report quarterly on WIF budget commitment and spend for 2018-19. | | ✓ | ✓ | ✓ | ✓ |
| <ul style="list-style-type: none"> To review and renew (if agreed) the SLAs for services provided to the Joint Cabinet from the Accountable Body (Cardiff Council). | | ✓ | ✓ | | |
| <ul style="list-style-type: none"> To prepare the draft Annual Governance Statement as part of the Statement of Accounts. | | ✓ | | | |
| <ul style="list-style-type: none"> To progress the discussions with Welsh Government regarding financial flexibilities, as set out in the Heads of Terms. | | ✓ | ✓ | ✓ | ✓ |
| <ul style="list-style-type: none"> To prepare the unaudited Statement of Accounts for Cabinet approval before 30 June 2018. | | ✓ | | | |
| <ul style="list-style-type: none"> To agreed Audited Statement of Accounts by 30 September 2018. | | | ✓ | | |
| <ul style="list-style-type: none"> Approval of 2019-20 budgets for Joint Committee and WIF before 28 February 2018. | | | | | ✓ |

APPENDIX 2

| Activity | Update | Q1 | Q2 | Q3 | Q4 |
|--|--------|----|----|----|----|
| <ul style="list-style-type: none"> Update of WIF Funding Strategy each time project approvals and drawdowns made ongoing throughout 2018-19 and future years. | | ✓ | ✓ | ✓ | ✓ |
| (A) KEY PROGRAMME – SKILLS AND EMPLOYMENT | | | | | |
| SKILLS AND EMPLOYMENT PARTNERSHIP | | | | | |
| (A1) To re-design the constitution of LSKIP to be able to become the <u>CCR Employment and Skills Board</u> by end of Quarter 1. | | ✓ | | | |
| (A2) Plan the delivery of the Welsh Government remit requirements. | | ✓ | | | |
| (A3) To report quarterly on progress of joint working with the DWP. | | ✓ | ✓ | ✓ | ✓ |
| (A4) To report to Cabinet on a quarterly basis progress on developing and producing an Employability Plan. | | ✓ | ✓ | ✓ | ✓ |
| (A5) SKILLS FOR THE FUTURE PROJECT | | | | | |
| (A5) (i) To produce the outline business case. | | ✓ | | | |
| (ii) To produce the full business case. | | | ✓ | | |
| (iii) To secure approval through Assurance Framework by the end of August. | | | ✓ | | |
| (iv) To initiate phase 1 of project delivery by end of Quarter 2. | | | ✓ | | |
| (B) KEY PROGRAMME – CONNECTING THE REGION | | | | | |
| REGIONAL TRANSPORT AUTHORITY | | | | | |
| <ul style="list-style-type: none"> (B1) To programme and support the quarterly meetings of the Regional Transport Authority, and report progress to Regional Cabinet. | | ✓ | ✓ | ✓ | ✓ |
| <ul style="list-style-type: none"> (B2) To finalise the consultation exercise regarding the outline regional transport strategy. | | ✓ | | | |
| <ul style="list-style-type: none"> (B3) To secure agreement from Regional Cabinet for the draft regional transport strategy to be agreed by all ten partner councils. | | | ✓ | | |
| <ul style="list-style-type: none"> (B4) For the Regional Transport Authority to oversee and report progress on the development of the Regional Metro Plus programme. | | | | | ✓ |
| METRO CENTRAL | | | | | |
| <ul style="list-style-type: none"> (B5) To prepare a development programme business case for the Metro Central investment proposal for Cardiff Central Station and wider Business District. | | | | | ✓ |

| Activity | Update | Q1 | Q2 | Q3 | Q4 |
|---|--------|----|----|----|----|
| DIGITAL INFRASTRUCTURE STRATEGY | | | | | |
| • (B6) To secure project development support to design proposals for investment in the regional infrastructure for ICT. | | ✓ | | | |
| • (B7) To prepare a development programme business case for the Digital Infrastructure Strategy | | | | ✓ | |
| • (B8) To report to Regional Cabinet the progress in designing the Regional Digital Portfolio in order to secure investment. | | ✓ | ✓ | ✓ | ✓ |
| • (B9) Sign up to Open Gov licence. | | ✓ | | | |
| (C) KEY PROGRAMME – REGENERATION AND INFRASTRUCTURE | | | | | |
| ECONOMIC GROWTH PARTNERSHIP BOARD | | | | | |
| To programme and support the regular meetings of the Economic Growth Partnership Board and report their recommendations on investment to Regional Cabinet. | | ✓ | ✓ | ✓ | ✓ |
| HOUSING INVESTMENT FUND | | | | | |
| • (C1) To secure project development support to enable the design and submission of this regional proposal, in order to secure investment. | | ✓ | | | |
| • (C2) To prepare a development programme business case for the Housing Investment Fund | | ✓ | | | |
| • (C3) To report to Regional Cabinet the progress in formulating this proposal for a Regional Fund, through the Assurance Framework to secure investment, in conjunction with Welsh Government. | | | | ✓ | |
| STRATEGIC SITES AND PREMISES | | | | | |
| • (C4) To establish an identified and agreed list of key strategic sites across South East Wales in conjunction with Welsh Government. | | | ✓ | | |
| • (C5) To establish the short/medium/ long term deliverability prioritised list, in conjunction with Welsh Government. | | | | ✓ | |
| • (C6) To identify all potential sources of funding to help deliver the sites for development, and report findings to Regional Cabinet. | | | | ✓ | |

APPENDIX 2

| Activity | Update | Q1 | Q2 | Q3 | Q4 |
|---|--------|----|----|----|----|
| • (C7) To explore the potential of a regional funding mechanism in conjunction with Welsh Government/private sector supported by Cardiff Capital Region City Deal investment. | | | | | ✓ |
| • (C8) To establish an identified and agreed list of strategic property requirements for business occupation across South East Wales in conjunction with Welsh Government. | | | | ✓ | |
| • (C9) To establish the short/medium/ long term deliverability prioritised list of development investment, in conjunction with Welsh Government. | | | ✓ | | |
| • (C10) To identify all potential sources of funding to help deliver properties for development/redevelopment. | | | | | ✓ |
| • (C11) To explore the potential of a regional property development fund mechanism in conjunction with Welsh Government supported by Cardiff Capital Region City Deal investment, to be considered by Regional Cabinet. | | | | ✓ | |
| VISITOR ECONOMY | | | | | |
| • (C12) To produce a regional visitor economy development plan, in conjunction with Welsh Government, for Regional Cabinet approval. | | | ✓ | ✓ | |
| • (C13) Review constitution of Destination Management Board/group in South East Wales. | | ✓ | | | |
| • (C14) To identify and secure potential funding sources for investment in the development opportunities identified in the plan. | | | ✓ | ✓ | |
| • (C15) Welsh Government funding for South East Wales be aligned with City Deal and Destination Management Plan. | | | ✓ | | |
| ENERGY | | | | | |
| • (C16) To identify regional investment opportunities in key renewable energy sector schemes, including energy management and manufacturing technologies. | | | | ✓ | |
| • (C17) To identify and secure potential funding sources for investment. | | | | ✓ | |
| • (C18) To develop and produce a Regional Sustainable Energy Plan with identified sectoral priorities and action plan. | | | ✓ | | |

| Activity | Update | Q1 | Q2 | Q3 | Q4 |
|--|--------|----|----|----|----|
| URBAN RENEWAL | | | | | |
| • (C19) To develop investment proposals that revitalise existing urban and town centre locations. | | | | ✓ | |
| • (C20) Identifying all sources of funding to help deliver proposals that enhance physical infrastructure in the centres and enable job creation including TRI funding from Welsh Government. | | | | ✓ | |
| D KEY PROGRAMME – INNOVATION AND ENTREPRENEURIAL ACTIVITY | | | | | |
| REGIONAL BUSINESS COUNCIL | | | | | |
| • (D1) To support the regular meetings of the Regional Business Council and report their recommendations to Regional Cabinet on investment proposals and their proposals for Regional Business Support requirements. | | ✓ | ✓ | ✓ | ✓ |
| • (D2) To secure project development support for the production of proposals for a 'Tech Venture Fund', and secure investment from Cardiff Capital Region City Deal and Partners through the Assurance Framework. | | | | ✓ | |
| • (D3) To report on the progress of developing a National Software Academy and National Cyber Academy to ensure digital capacity and capability exists in the region for the future. | | | | | ✓ |
| • (D4) Public services testbed development and roll-out. | | | | | ✓ |
| • (D5) To support the development of the globally recognised Compound Semi-Conductor cluster | | ✓ | ✓ | ✓ | ✓ |
| • (D6) To support the CSC Foundry Ltd. in terms of monitoring the progress of the delivery of the Foundry. | | ✓ | ✓ | ✓ | ✓ |